

PCT

copy of # 11

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re the Application of

Kim DAM LARSEN et al.

ATTN:

Refund Section
Accounting Div.
Office of Finance

Application No.: 09/889,877

Docket No.: 110171

Filed: August 6, 2001


For: AN ELECTRIC MULTIPOLE MOTOR/GENERATOR WITH AXIAL MAGNETIC
FLUX**REQUEST FOR REFUND TO DEPOSIT ACCOUNT**Director of the U.S. Patent and Trademark Office
Washington, D.C. 20231

Sir:

Attached hereto is a copy of a Monthly Statement of Deposit Account (dated September, 2001) showing a charge of \$65.00 related to the above-referenced application. This charge is marked with Fee Code 254, which is the Patent Office Fee for Oath or Declaration filed after twenty or thirty months from priority date. However, the thirty month priority date was August 10, 2001 and the Declaration was filed on August 6, 2001. Attached hereto are copies of the August 6, 2001 pto-stamped receipt, PCT Transmittal and Declaration as filed.

Accordingly, it is respectfully requested that this charge be re-credited to Deposit Account No. 15-0461 and that the Patent Office acknowledge this credit in writing.

Respectfully submitted,


James A. Oliff
Registration No. 27,075Joel S. Armstrong
Registration No. 36,430

JAO:JSA/zmc

Date: November 15, 2001

OLIFF & BERRIDGE, PLC
P.O. Box 19928
Alexandria, Virginia 22320
Telephone: (703) 836-6400DEPOSIT ACCOUNT USE
AUTHORIZATIONPlease grant any extension
necessary for entry;
Charge any fee due to our
Deposit Account No. 15-0461

Requested Statement Month: September 2001
Deposit Account Number: 150461
Name: OLIFF & BERRIDGE P L C
Attention: BARBARA WATTS
Address: 277 SOUTH WASHINGTON STREET
City: ALEXANDRIA
State: VA
Zip: 22314

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT
09/27	368	09889877	110171	254	\$65.00

PTO RECEIPT FOR FILING OF PAPERS

The following papers have been filed:

PCT t, DEC

Name of Applicant: Kim DAM LARSEN et al.

Serial No.: 09/889,877

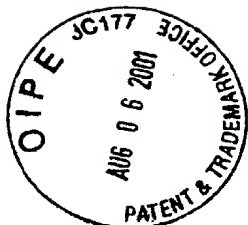
Atty. File No.: 110171

Title (New Cases):

Sender's Initials: JAO/zmc

32/15

PATENT OFFICE DATE STAMP



629

**COPY TO BE STAMPED BY PATENT OFFICE
AND RETURNED BY MESSENGER**

**TRANSMITTAL LETTER TO THE
UNITED STATES
DESIGNATED/ELECTED OFFICE
(DO/EO/US) CONCERNING A FILING
UNDER 35 U.S.C. 371**

U.S. APPLICATION NO.
(if known, sec 37 C.F.R.1.5)
09/889,877

INTERNATIONAL APPLICATION NO.
PCT/DK00/00054

INTERNATIONAL FILING DATE
February 9, 2000

PRIORITY DATE CLAIMED
February 10, 1999

TITLE OF INVENTION
AN ELECTRIC MULTIPOLE MOTOR/GENERATOR WITH AXIAL MAGNETIC FLUX

APPLICANT(S) FOR DO/EO/US
Kim DAM LARSEN et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ Entitlement to small entity status is hereby asserted.
16. ☐ Other items or information:

U.S. APPLICATION NO. (if known, see 37
C.F.R. 1.5) 09/889,877

INTERNATIONAL APPLICATION NO.
PCT/DK00/00054

ATTORNEY'S DOCKET NUMBER
110171

17. ☐ The following fees are submitted:

Basic National fee (37 CFR 1.492(a)(1)-(5)):

Search Report has been prepared by the EPO or JPO\$860.00

International preliminary examination fee paid to USPTO
(37 CFR 1.482)\$690.00

No international preliminary examination fee paid to USPTO
(37 CFR 1.482) but international search fee paid to USPTO
(37 CFR 1.445(a)(2))\$710.00

Neither international preliminary examination fee (37 CFR
1.482) nor international search fee (37 CFR 1.445(a)(2))
paid to USPTO.....\$1,000.00

International preliminary examination fee paid to USPTO
(37 CFR 1.482) and all claims satisfied provisions of PCT
Article 33(2)-(4)\$ 100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

Surcharge of \$130.00 for furnishing the oath or declaration later than
☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR
1.492(e)).

Claims	Number Filed	Number Extra	Rate
Total Claims	- 20 =		X \$ 18.00
Independent Claims	- 3 =		X \$ 80.00
Multiple dependent claim(s)(if applicable)			+ \$270.00

TOTAL OF ABOVE CALCULATIONS =

Reduction by 1/2 for filing by small entity, if applicable. -

SUBTOTAL =

Processing fee of \$130.00 for furnishing the English translation later
than ☐ 20 ☐ 30 month from the earliest claimed priority date (37 CFR
1.492(f)). +

TOTAL NATIONAL FEE =

Amount to be refunded	\$
Charged	\$

- a. ☐ Check No. _____ in the amount of \$ _____ to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 15-0461. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

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NAME: James A. Oliff
REGISTRATION NUMBER: 27,075

NAME: Thomas J. Pardini
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NAME: Joel S. Armstrong
REGISTRATION NUMBER: 36,430

Date: August 6, 2001